

## Judicial Branch

### Appropriations Subcommittee

March 3, 2022

#### Follow-up questions

1. Does the Court Support Services Division offer clients programs other than medically assisted therapy for substance use disorders? Please describe the programming and services the Branch provides to address the needs of clients with opioid use disorder, or other substance abuse treatment needs. The Judicial Branch was also asked to provide information regarding its contractors' use of Medication Assisted Treatments (MATs), and other holistic programming that may address these needs.

A. **ASSESSMENT:** All probation clients are assessed for their risk to re-offend, and their service / treatment needs, using validated and standardized instruments. These screening and assessment tools identify a range of client needs includes antisocial behavior; antisocial personality; criminal thinking; criminal associates; dysfunctional family; employment and education; leisure and recreation; and substance abuse. These "criminogenic" needs, when addressed, have been identified as most impactful in reducing recidivism. Probation Officers and other Judicial Branch staff work with clients to identify the most pressing needs, and to sequence treatment planning most effectively. The Judicial Branch, Court Support Services Division, contracts with a variety of service providers throughout Connecticut, to ensure that identified client needs can be addressed with appropriate rehabilitative programming. Judicial Branch employees work closely with service vendors to ensure seamless services, from program referral through discharge.

Pretrial clients are also assessed for domestic violence, risk to appear and public safety concerns using assessment instruments specific to those areas. In each assessment substance abuse and behavioral health are also assessed and appropriate referrals for treatment and services are recommended/made. Pretrial-Bail clients referred to the Alternative in the Community (AIC) programs are also assessed with the Level of Service Inventory – Revised (LSI-R) to identify and target a pretrial defendant's criminogenic need areas.

1. The **Level of Service Inventory- Revised (LSI-R)** and the **Adult Substance Use Survey (ASUS)** are the tools Adult Probation Officers use to assess clients' risk/need.
2. Two risk assessments are utilized in the pre-arraignment screening process with defendants arrested for domestic violence. The **Domestic Violence Screening Instrument-Revised (DVSI-R)** is used to predict Domestic Violence re-arrest in the near future and the **Supplemental Risk Indicators (SRI)** identifies cases with potential lethality.
3. The **Bail Case Data Record (CDR)** is used for all lock-up arraignment cases. In addition to screening for risk of non-appearance and public safety concerns, the CDR also identifies substance use and behavioral health need areas.

**B. COMMUNITY-BASED SERVICES:** The Branch contracts with community-based providers to provide a range of evidence- and research-based services to address needs identified through its assessment tools and processes. These services are available to men and women throughout Connecticut.

1. **Treatment Pathway Program (TPP)** is an innovative court-based pretrial diversionary initiative that provides court-based assessment and referral services for nonviolent clients. Court-based clinicians work with the Bail Services Unit in the Court Support Services Division to identify and serve clients with substance use disorders who are in custody. Opioid use disorder is the target population, although other clients with substance use disorders are also able to access the program. Individuals are assessed in lockup prior to their arraignment to be safely released and are immediately connected with clinical and recovery support services (Recovery Coaches) in the community. The defendant's care is managed through the entire pretrial process under the collaborative community supervision of Intensive Pre-Trial Probation Officers, Bail Services and the clinical provider. There are currently 4 TTP sites in Connecticut, with 4 more starting on 7/1/22.
2. **Alternatives in the Community (AIC)** is a network of community-based program offered across the state where cognitive-behavioral interventions are delivered that target the clients' assessed needs. A range of services are provided at AICs, including employment services, but featured here are substance use services.
  - a. **Treating Alcohol Dependence (TAD)** is a cognitive-behavioral coping skills intervention that teaches interpersonal and intrapersonal skills including social skills, refusal skills, managing negative thinking, and relapse prevention strategies. It provides twelve 90- minute modules, offered in group format.
  - b. **Strategic Case Management (SCM)** is a program of 30-minute sessions at a frequency conducive to the client's risk level that prioritizes top criminogenic needs following a structured format of check-in, review, skill building, behavioral rehearsal, and a summary of the session while holding clients accountable for their behavior. SCM uses evidence-based resources that target Alcohol or Drug use.
3. **Adult Behavioral Health Services (ABHS)** programs provide licensed clinical services including evaluation, and treatment. Most services are reimbursed by Medicaid or private insurance.
  - a. **Integrated Substance Use and Mental Health Evaluations** – Clinical staff evaluate individuals to determine if a substance or mental health diagnosis is present, and place individual in the appropriate level of care based on the evaluation.
  - b. **Intensive Outpatient Program (IOP)** - IOP services are geared to those individuals who are assessed to be in earlier Stages of Change and assists clients in gaining insight to the negative impact of drug and alcohol abuse through a combination of education, peer interaction, and

development of the counselor/client therapeutic alliance. IOP meets a minimum of three hours per day three days per week.

- c. **Individual and Group Treatment** – Substance abuse, co-occurring, mental health, anger management, trauma and relapse prevention. Group treatment is generally 60 – 90 minutes, one time a week for 12 weeks.
- d. **Medication Evaluation and Medication Management** – Medication evaluations are administered by a doctor or Advanced Practice Registered Nurse to determine if medication is required to treat the mental health or substance use disorder. Medication is regularly monitored for dosage, side effects and effectiveness.
- e. **Medication Assisted Treatment (MATs)** - Use of FDA approved medications such as Naltrexone (Vivitrol), Buprenorphine (Suboxone) or Methadone (Medication varies across providers) along with comprehensive counseling, and behavior therapies to assist in opioid addiction prevention. Please see **Attachment A** for a summary of MATs by location.

**C. RESIDENTIAL DRUG and ALCOHOL TREATMENT PROGRAMS:** The Judicial Branch has a Memorandum of Agreement (MOA) with the Department of Mental Health and Addiction Services (DMHS), through which it provides access to 167 residential treatment beds at various programs around the state. The services include group treatment, individual counseling, recovery supports, and relapse prevention. The Branch access is limited to clients who are referred through the court, pretrial or as a condition of probation, either ordered by the court as a condition of probation, or by an Officer imposed condition, should the client require that level of treatment. These programs serve both males and females, 18 years of age and older. The models accessed through this collaborative currently cover several levels of care.

- 1. **Recovery House** programs provide licensed intensive outpatient treatment for clients in a residential setting.
- 2. **Intermediate Residential programs** (level 3.5) provides licensed residential treatment which includes 20 hours of group counseling a week.
- 3. **Intermediate Residential for Pregnant and Parenting Women** (level 3.5PPW) provides licensed residential treatment which includes 20 hours of group counseling a week, with services oriented to parenting, care and wellness.
- 4. **Intensive Residential** (level 3.7RE) provides intensive licensed residential treatment with enhanced mental health treatment.

**D. ADJUNCTS:** While the services identified above generally reflect the current standard of care and practice, many contracted programs also have Flex Funds available to customize services to the specific needs of individual clients.

- 1. **Flex funds** can address a broad range of client needs including

- a. Basic needs, like food, clothing, diapers, shelter, and transportation
- b. Incentives or rewards, to recognize client engagement or goal attainment
- c. Individualized treatment needs, as might occur outside of the service continuum

**E. FUTURE DIRECTIONS:** As the Branch identifies funds, additional services being actively reviewed for inclusion and are listed below.

1. **Recovery Coaches** – Recovery Coaches are people with lived experiences who provide support and information during treatment and especially after formal substance abuse treatment services have ended. They are often lay people who can engage well with clients based on having overcome similar challenges. Support services can be delivered in person, or by phone, and are commonly provided on evenings and weekends, when risk of relapse may be greatest. The Court Support Services Division in the Judicial Branch would like to expand Recovery Coaches beyond Treatment Pathway Programs to embed them in additional programs, such as Adult Behavioral Health Service.
2. **Use of Technology** – A number of apps have been developed in recent years that aim to keep clients engaged in their recovery by using the ease of phone and texting communications to assess clients' affective states, motivation for sobriety, or other needs. With this approach, service providers can be directed in real time to clients at greatest risk of relapse. Clients can also be reminded of appointments or assisted to overcoming barriers to treatment engagement.

**F. OTHER HOLISTIC APPROACHES:** There are additional interventions identified by a variety of providers across the country, which target the substance use needs of adults. Although the Court Support Services Division in the Judicial Branch could not find research findings/meta-analysis regarding these interventions, the Court Support Services Division acknowledges they may be useful for certain clients and, to the extent they are available in Connecticut, the following can be accessed via Flex Funds:

- Acupuncture
- Adventure Therapy
- Animal Therapy
- Art Therapy
- Exercise & Movement
- Family Therapy
- Healthy Living / Food and Nutrition Support
- Hypnotherapy / Guided Imagery
- Meditation / Mindfulness
- Sound Therapy
- Spirituality
- Trauma Work / Biofeedback
- Yoga

2. During the past five years, how much money did the Judicial Branch receive in federal funding to provide services to victims of crime? How much money did the Judicial Branch spend in each of the past five years to fund these programs? Provide a list of the contracted agencies.

The Judicial Branch included victim services funding in its ARPA funding proposal at the request of the OPM to address the service need and expected shortfall in resources due to reduced federal fund availability. **Attachment B** includes the following information:

- Summary of Federal VOCA Victim Assistance Grant Awards for the Federal Fiscal Years 2017 – 2021
- Federal VOCA Victim Assistance Grant Awards by State Fiscal Year for the period 2017 – 2022, and estimated for SFY 2023 (note, RFP process is not yet complete)
- Summary of VOCA-funded Victim Assistance Expenditures for State Fiscal Years 2017 – 2022

The Branch requested \$14.865 million in ARPA funding, which would be combined with \$9.175 million in FFY 20 and \$5.695 million in FFY 21 VOCA Victim Assistance funding, for a total of \$29.735 million in SFY 2023. This total is expected to maintain services at their current levels.

3. Provide a list of mediators and the funding source.

In FY 22 \$1,282,637 was provided to the Judicial Branch from the Coronavirus Relief Fund (CRF) to support 25 Office Clerks and 13 Mediation Specialist Trainees, salaries plus fringe, in response to the anticipated surge in eviction cases caused by the pandemic. The duration of this financial support was 9/1/21 through 12/31/21.

In an agreement between the Judicial Branch and the Department of Housing, \$600,000 was provided under the Emergency Rental Assistance Program, to continue the 13 Mediation Specialist Trainees for the remainder of Fiscal Year 22 (1/1/22 – 6/30/22).

The 25 Office Clerks were transferred to the Judicial Branch's Personal Services General Fund effective 1/1/22 because anticipated federal financial support from the Department of Housing/ Office of Policy and Management became unavailable.

In addition, there are 10 Mediation Specialist who mediate foreclosure matters. They are paid by the Banking fund and assigned to the Foreclosure Mediation Program. A breakdown of the funds and SID's for these employees are as follows:

FUND	SID	DESCRIPTION	# OF EMPLOYEES
11000	10010	Judicial Branch General Fund	25
12003	12472	Banking Fund	10
12060	29822	Emergency Rental Assistance	13

# **ATTACHMENT A**

On-site Medication Assisted Treatment/ Medical Services/Narcan		Methadone on-site		Bup/Suboxone		Vivitrol		Naltrexone		Medical Services/pharmacy	Give Narcan Kits?	Give Narcan Prescription
ABHS provider		YES	NO	YES	NO	YES	NO	YES	NO	Yes or No	Yes or No	Yes or No
<u>Catholic Charities</u>	203 High Street Milford, CT		X	X			X		X	No	N	Y
<u>Catholic Charities</u>	501 Lombard Street New Haven		X	X			X		X	No	N	Y
<u>Community Health Resources</u>	71 Wescott Rd., Danielson, CT		X	X		X		X		No	N	Y
<u>Community Health Resources</u>	153 Hazard Ave. Enfield, CT 06082	X		X		X		X		PCP/Pharmacy	N	Y
<u>Community Health Resources</u>	444 Center Street Manchester CT 06040		X	X		X		X		CHR Pharmacy/PCP	N	Y
<u>Community Health Resources</u>	1310 Main St. Willimantic CT		X	X		X		X		No	N	Y
<u>Cornell Scott</u>	62 Grant Street, New Haven, CT 06519		X	X			X	X		DENTAL/OB-GYN/PCP/PHARMACY/NUT.	N	Y
<u>Cornell Scott</u>	285 Main St. West Haven, CT 06516		X	X		X		X		PCP	N	Y
<u>CT Counseling</u>	290 Pratt St. Meriden, CT		X *	X			X	X		APRN Yes, Pharmacy No	N	Y
<u>CT Counseling</u>	20 North Main St. 3rd Fl Norwalk, CT	X			X	X		X		Yes	Yes	Y
<u>CT Counseling</u>	15 Commerce St. Stamford, CT Ste. 100		X	X		X		X		Yes	Yes	Y
<u>CT Counseling</u>	4 Midland Rd Waterbury CT	X			X*	X		X		Yes	Yes	Y
<u>CT Renaissance</u>	141 Franklin Street Stamford, CT		X	X		X		X		No	N	Y
<u>Farrell Treatment Center</u>	586 Main Street New Britain,Ct 06051		X	X			X	X		No	N	Y
<u>Hartford Behavioral Health</u>	2550 Main St Hartford, CT 06120		X		X		X	X		PHARMACY/PCPAPRN/Co-location with PCP/Pharmacy	Yes	Yes
<u>Hockanum Valley (HVCC)</u>	27 Naek Rd. Suite 4 Vernon, CT		X	X			X	X		No	Y	Y
<u>InterCommunity</u>	281 Main St East Hartford CT		X	X		X		X		PCP/Pharmacy	N	Y
<u>MCCA</u>	140 John Street Bridgeport,CT 06606		X	X	X	X		X		No	N	Y
<u>MCCA</u>	100 Elizabeth St Derby, CT 06418		X	X		X		X		No	N	Y
<u>MCCA</u>	Whalley Professional Bldg 419 Whalley Ave Suite 300 New Haven, CT		X	X		X		X		No	N	Y
<u>MCCA</u>	30 Peck Rd Torrington, CT		X	X		X		X		No	N	Y
<u>MCCA</u>	34 Murray St Waterbury, CT		X	X		X		X		No	N	Y
<u>MCCA</u>	Danbury		X	X		X		X		No	N	Y
<u>MCCA</u>	50 Bridge St New Milford CT		X	X		X		X		No	N	Y
<u>Recovery Network of Programs</u>	– 1549 Fairfield Ave. Bridgeport, CT	X*			X		X		X	No	N	Y
<u>Rushford Center</u>	1250 Silver St Middletown, CT		X	X		X		X		No	N	Y
<u>SCADD</u>	321 Main St Norwich, CT		X	X		X		X		No	N	Y
<u>SCADD</u>	New London		X	X		X		X		No	N	Y
<u>Southwest Community Health Center</u>	1046 Fairfield Ave Bridgeport, CT		X	X		X		X		PCP/OB-GYN	N	Y
<u>The Connection Inc</u>	101 Water Street Norwich, CT		X	X			X	X		APRN Yes, Pharmacy No	N	Y
<u>The Village</u>	331 Wethersfield Ave Hartford, CT 06114		X	X		X		X		No	N	Y
<u>Wheeler Clinic</u>	91 Northwest Dr. Plainville, CT		X	X		X		X		PCP/Pharmacy/ob-gyn	N	Y
<u>Wheeler Clinic</u>	43 Woodland St Hartford, CT 06105		X	X		X		X		PCP/Pharmacy/Dentist/ob-gyn	N	Y
<u>Wheeler Clinic</u>	40 Hart St New Britain, CT		X	X		X		X		PCP/Pharmacy/ob-gyn	N	Y

# **ATTACHMENT B**

**Summary of Federal VOCA Victim Assistance Grant Awards for the Federal Fiscal Years 2017 – 2021**

**Federal VOCA Victim Assistance Grant Awards by State Fiscal Year for the period 2017 – 2022, and estimated for SFY 2023**

**Summary of VOCA-funded Victim Assistance Expenditures for State Fiscal Years 2017 – 2022**





Victim Assistance Program Receiptient		SFY 17-18 Expenditures	SFY 18-19 Expenditures	SFY 19-20 Expenditures	SFY 20-21 Expenditures	SFY 21-22 Awarded Contracts		SFY 22-23 Estimated VOCA Funded Contracts	SFY 22-23 Estimated ARPA Funded Contracts	SFY 22-23 Total Estimated Contracts
BHcare, Inc.		\$ 243,416	\$ 266,213	\$ 289,483	\$ 400,000	\$ 412,761				
BHcare, Inc.		-	-	137,333	137,333	137,333				
Catholic Charities Archdiocese of Hartford		-	-	284,290	284,260	284,290				
Center for Family Justice		-	-	87,578	123,401	248,569				
Charlotte Hungerford Hospital - Center for Youth and Families		30,681	94,947	123,888	154,500	154,500				
Charlotte Hungerford Hospital		128,885	131,952	108,348	103,607	111,935				
Clifford W. Beers Guidance Clinic		471,792	493,558	533,385	617,202	620,993				
Community Child Guidance Clinic		52,413	52,420	49,515	55,587	60,608				
Community Health Center (Child Guidance Center of Southern CT affiliate)		254,925	261,298	394,640	394,640	394,640				
Community Health Resources		-	-	75,500	115,038	115,038				
Community Mental Health Affiliates		581,034	859,867	822,955	831,517	1,065,185				
Connecticut Alliance to End Sexual Violence		2,652,570	3,223,204	4,616,060	5,056,768	5,119,522				
Connecticut Children's Medical Center		-		341,887	386,445	389,178				
Connecticut Coalition Against Domestic Violence		-	-	2,321,227	2,321,227	2,321,227				
Connecticut Coalition Against Domestic Violence		4,079,873	4,177,239	5,173,161	5,223,534	5,223,534				
Connecticut Council of Family Services Agencies		-	-	834,187	1,031,741	1,041,018				
Connecticut Institute for Refugees and Immigrants		-	-	53,700	53,700	53,700				
Connecticut Legal Services		1,587,800	1,628,583	2,073,702	2,124,593	2,135,257				
Domestic Violence Crisis Center		445,489	456,626	316,893	323,983	323,983				
Family Centered Services of Connecticut		400,972	414,790	476,350	476,350	476,351				
Gilead Community Services		-	-	111,603	123,185	164,640				
Hartford Behavioral Health		629,817	645,110	957,113	956,977	1,407,181				
Hartford Interval House		-	-	262,328	262,859	264,371				
Hospital of Central Connecticut		202,825	218,046	338,932	338,932	338,932				
Human Resources Agency of New Britain		81,606	81,880	132,685	135,348	157,045				
Human Services Council		118,401	134,876	146,683	141,970	153,231				
Klingberg Family Centers		342,446	351,007	439,781	475,709	483,571				
Klingberg Family Centers		-	-	638,734	587,842	708,164				
LifeBridge Community Services		144,173	147,775	155,571	155,571	155,571				
LOVE146		468,031	501,893	783,871	763,040	820,508				
Mid-Fairfield Child Guidance Center		-	-	159,146	208,621	222,254				
Mothers Against Drink Driving		154,344	139,964	168,399	163,439	176,428				
Newtown Youth and Family Services		580,734	608,747	645,928	645,990	645,990				
Saint Francis Hospital and Medical Center		552,071	651,079	692,265	646,124	717,916				
Salvation Army		-	-	47,722	69,743	76,971				
Survivors of Homicide		108,296	111,003	138,053	138,053	138,053				
Town of Newtown		388,350	371,128	347,659	345,323	498,377				
Village for Families and Children		234,969	225,521	421,347	447,516	475,310				
Waterbury Youth Services		-	-	150,603	150,600	150,603				
Wellmore, Inc.		208,032	212,380	234,556	234,556	234,556				
Yale University School of Medicine		211,493	218,268	350,986	361,559	362,118				
YWCA Hartford Region		-	-	604,505	690,457	694,421				
Total VOCA Funded Awards		\$ 15,355,438	\$ 16,679,374	\$ 27,042,552	\$ 28,258,840	\$ 29,735,833		\$ 14,870,533	\$ 14,865,300	\$ 29,735,833
				(5)	(5)			(4)	(4)	(4)
(1) Funds also pay for 3 Victim Advocates at an approximate cost of \$635K/year.										
(2) Funds also pay for 3 Victim Advocates at an approximate cost of \$635K/year.										
(3) \$5 million of the FFY 2021 award remains unallocated to provide resources, if necessary, in SFY 23-24 to maintain current services if the FFY 2022 award is insufficient.										
(4) OVS Victim Assistance Program contracts end on 06/30/22. A Request for Proposals (RFP) for victim assistance services starting 07/01/22 was issued and bid responses are currently under evaluation. The amount requested is to maintain existing service levels. The agencies to be funded and the actual contract amounts will be determined at the resolution of the RFP process.										
(5) The amount award for contracts in FY 2019-2020 and FY 2020-2021 totalled \$29,735,833 per year. Underspending occurred due delayed start-up for new contracts as well as the impacts of the COVID-19 pandemic on agency operations. Unexpended VOCA dollars were used by OVS to fund FY 2021-2022 contracts before the federal awards expired.										